

526 PCT/PTO 22 JUN 2000

FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET #: 4781-42PUS
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				
				U.S. APPLICATION NO. 09/582122
INTERNATIONAL APPLICATION NO. PCT/CH98/00533		INTERNATIONAL FILING DATE December 11, 1998		PRIORITY DATE CLAIMED December 23, 1997
TITLE OF INVENTION Cable Elevator With A Drive Plate				
APPLICANT(S) FOR DO/EO/US Ernst ACH				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>6. <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>7. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>8. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>9. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>10. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>11. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>12. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>13. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>14. <input type="checkbox"/> have not been made and will not be made.</p> <p>15. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>16. <input checked="" type="checkbox"/> An EXECUTED oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>17. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11. to 16. Below concern other document(s) or information included:</b></p> <p>18. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>19. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>20. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>21. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>22. <input type="checkbox"/> A substitute specification.</p> <p>23. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>24. <input checked="" type="checkbox"/> Other items or information (<i>specify</i>): PCT Publication Sheet, Int'l Preliminary Examination Report, Int'l Search Report, PCT Request, Notice Informing the Applicant of the Communication of the Int'l Application to the Designated Offices; Notification of Receipt of Record Copy,</p>				

526 Rec'd PCT/PTO 22 JUN 2000

U.S. APPLICATION NO. (If known, see 37 CFR 1.53)

09/582122

INTERNATIONAL APPLICATION  
PCT/CH98/00533ATTORNEY'S DOCKET NUMBER  
4781-42PUS

17.[x]The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO .....\$840.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670.00  
 No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00  
 Neither international preliminary examination fee (37 CFR 1.482)  
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$970.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482)  
 and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$840

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
 from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims

Number Filed

Number Extra

Rate

Total Claims

8 - 20 =

0

x \$18.00

\$

Independent Claims

1 - 3 =

0

x \$78.00

\$

Multiple dependent claim(s) (if applicable)

+ \$260.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$840

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity statement  
 must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$840

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

TOTAL NATIONAL FEE =

\$840

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$

TOTAL FEES ENCLOSED

\$840

Amount to be refunded:

\$

charged:

\$

- a. ☒ One check in the amount of \$ 840 to cover the above fees is/are enclosed.  
 b. ☐ Please charge my Deposit Account No. 03-2412 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of  
 this sheet is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 03-2412. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive  
 (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Klaus P. Stoffel

Cohen, Pontani, Lieberman &amp; Pavane

551 Fifth Avenue, Suite 1210

New York, New York 10176

Klaus P. Stoffel

Registration Number: 31,668

Tel: (212) 687-2770

PTO/PCT Rec-22 JUN 2000

9/582122

By Express Mail #EL489598671US  
Attorney Docket # 4781-42PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of  
Ernst ACH et al.  
Int'l PCT Appln. No.: PCT/CH98/00533  
Int'l Filing Date: December 11, 1998  
For: Cable Elevator With A Drive Plate

Check box if applicable:  
☐ DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES  
AND PETITIONS FOR EXTENSIONS OF TIME**  
*Submit an original and a duplicate for fee processing*

Assistant Commissioner for Patents  
**BOX PCT**  
Washington, DC 20231

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to  
Deposit Account No. 03-2412

- ☒ Any filing fees required under 37 CFR §1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- ☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

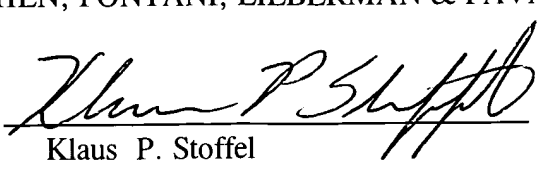
06/27/2000 WCLAYBRO 00000106 032412 09582122

01 FC:117 870.00 CH

Adjustment date: 08/03/2000 SSALEEK1  
06/27/2000 WCLAYBRO 00000106 032412 09582122  
01 FC:117 870.00 CR

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By

  
Klaus P. Stoffel  
Reg. No. 31,668  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
(212) 687-2770

Dated: June 22, 2000